- 36. Brain weight B as a function of body weight W in fish has been modeled by the power function $B = 0.007W^{2/3}$, where B and W are measured in grams. A model for body weight as a function of body length L (measured in centimeters) is $W = 0.12L^{2.53}$. If, over 10 million years, the average length of a certain species of fish evolved from 15 cm to 20 cm at a constant rate, how fast was this species' brain growing when the average length was 18 cm?
- 37. A television camera is positioned 4000 ft from the base of a rocket launching pad. The angle of elevation of the camera has to change at the correct rate in order to keep the rocket in sight. Also, the mechanism for focusing the camera has to take into account the increasing distance from the camera to the rising rocket. Let's assume the rocket rises vertically and its speed is 600 ft/s when it has risen 3000 ft.
 - (a) How fast is the distance from the television camera to the rocket changing at that moment?
 - (b) If the television camera is always kept aimed at the rocket, how fast is the camera's angle of elevation changing at that same moment?
- **38.** A lighthouse is located on a small island 3 km away from the nearest point *P* on a straight shoreline and its light makes four revolutions per minute. How fast is the beam of light moving along the shoreline when it is 1 km from *P*?

- **39.** A plane flies horizontally at an altitude of 5 km and passes directly over a tracking telescope on the ground. When the angle of elevation is $\pi/3$, this angle is decreasing at a rate of $\pi/6$ rad/min. How fast is the plane traveling at that time?
- **40.** A Ferris wheel with a radius of 10 m is rotating at a rate of one revolution every 2 minutes. How fast is a rider rising when his seat is 16 m above ground level?
- **41.** A plane flying with a constant speed of 300 km/h passes over a ground radar station at an altitude of 1 km and climbs at an angle of 30°. At what rate is the distance from the plane to the radar station increasing a minute later?
- **42.** Two people start from the same point. One walks east at 3 mi/h and the other walks northeast at 2 mi/h. How fast is the distance between the people changing after 15 minutes?

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- 43. A runner sprints around a circular track of radius 100 m at a constant speed of 7 m/s. The runner's friend is standing at a distance 200 m from the center of the track. How fast is the distance between the friends changing when the distance between them is 200 m?
- **44.** The minute hand on a watch is 8 mm long and the hour hand is 4 mm long. How fast is the distance between the tips of the hands changing at one o'clock?

4.2 Maximum and Minimum Values and analysis

Some of the most important applications of differential calculus are *optimization problems*, in which we are required to find the optimal (best) way of doing something. Here are examples of such problems that we will solve in this chapter:

- What is the shape of a can that minimizes manufacturing costs?
- What is the maximum acceleration of a space shuttle? (This is an important question to the astronauts who have to withstand the effects of acceleration.)
- What is the radius of a contracted windpipe that expels air most rapidly during a cough?
- At what angle should blood vessels branch so as to minimize the energy expended by the heart in pumping blood?

These problems can be reduced to finding the maximum or minimum values of a function. Let's first explain exactly what we mean by maximum and minimum values.

We see that the highest point on the graph of the function f shown in Figure 1 is the point (3, 5). In other words, the largest value of f is f(3) = 5. Likewise, the smallest value is f(6) = 2. We say that f(3) = 5 is the *absolute maximum* of f and f(6) = 2 is the *absolute minimum*. In general, we use the following definition.

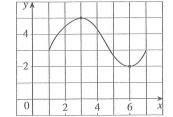


FIGURE 1

- **Definition** Let c be a number in the domain D of a function f. Then f(c) is the
- **absolute maximum** value of f on D if $f(c) \ge f(x)$ for all x in D.
- **absolute minimum** value of f on D if $f(c) \le f(x)$ for all x in D.

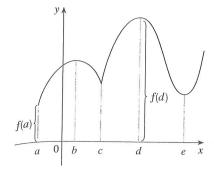


FIGURE 2 Abs min f(a), abs max f(d) loc min f(c), f(e), loc max f(b), f(d)

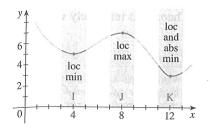


FIGURE 3

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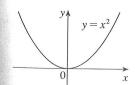


FIGURE 4
Minimum value 0, no maximum

FIGURE 5
No minimum, no maximum

An absolute maximum or minimum is sometimes called a **global** maximum or minimum. The maximum and minimum values of f are called **extreme values** of f.

Figure 2 shows the graph of a function f with absolute maximum at d and absolute minimum at a. Note that (d, f(d)) is the highest point on the graph and (a, f(a)) is the lowest point. In Figure 2, if we consider only values of x near b [for instance, if we restrict our attention to the interval (a, c)], then f(b) is the largest of those values of f(x) and is called a *local maximum value* of f(x). Likewise, f(c) is called a *local minimum value* of f(x) because $f(c) \le f(x)$ for f(x) near f(x) for instance. The function f(x) also has a local minimum at f(x). In general, we have the following definition.

- **2 Definition** The number f(c) is a
- local maximum value of f if $f(c) \ge f(x)$ when x is near c.
- local minimum value of f if $f(c) \le f(x)$ when x is near c.

In Definition 2 (and elsewhere), if we say that something is true **near** c, we mean that it is true on some open interval containing c. For instance, in Figure 3 we see that f(4) = 5 is a local minimum because it's the smallest value of f on the interval I. It's not the absolute minimum because f(x) takes smaller values when x is near 12 (in the interval K, for instance). In fact f(12) = 3 is both a local minimum and the absolute minimum. Similarly, f(8) = 7 is a local maximum, but not the absolute maximum because f takes larger values near 1.

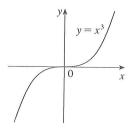
EXAMPLE 1 A function with infinitely many extreme values

The function $f(x) = \cos x$ takes on its (local and absolute) maximum value of 1 infinitely many times, since $\cos 2n\pi = 1$ for any integer n and $-1 \le \cos x \le 1$ for all x. Likewise, $\cos(2n+1)\pi = -1$ is its minimum value, where n is any integer.

EXAMPLE 2 A function with a minimum value but no maximum value

If $f(x) = x^2$, then $f(x) \ge f(0)$ because $x^2 \ge 0$ for all x. Therefore f(0) = 0 is the absolute (and local) minimum value of f. This corresponds to the fact that the origin is the lowest point on the parabola $y = x^2$. (See Figure 4.) However, there is no highest point on the parabola and so this function has no maximum value.

EXAMPLE 3 A function with no maximum or minimum From the graph of the function $f(x) = x^3$, shown in Figure 5, we see that this function has neither an absolute maximum value nor an absolute minimum value. In fact, it has no local extreme values either.



EXAMPLE 4 A maximum at an endpoint The graph of the function

$$f(x) = 3x^4 - 16x^3 + 18x^2 \qquad -1 \le x \le 4$$



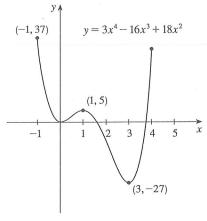


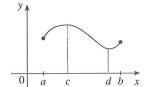
FIGURE 6

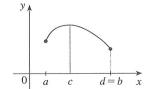
is shown in Figure 6. You can see that f(1) = 5 is a local maximum, whereas the absolute maximum is f(-1) = 37. (This absolute maximum is not a local maximum because it occurs at an endpoint.) Also, f(0) = 0 is a local minimum and f(3) = -27 is both a local and an absolute minimum. Note that f has neither a local nor an absolute maximum at x = 4.

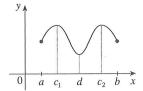
We have seen that some functions have extreme values, whereas others do not. The following theorem gives conditions under which a function is guaranteed to possess extreme values.

3 The Extreme Value Theorem If f is continuous on a closed interval [a, b], then f attains an absolute maximum value f(c) and an absolute minimum value f(d) at some numbers c and d in [a, b].

The Extreme Value Theorem is illustrated in Figure 7. Note that an extreme value can be taken on more than once. Although the Extreme Value Theorem is intuitively very plausible, it is difficult to prove and so we omit the proof.







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FIGURE 7

Figures 8 and 9 show that a function need not possess extreme values if either hypothesis (continuity or closed interval) is omitted from the Extreme Value Theorem.

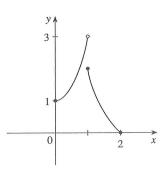


FIGURE 8

This function has minimum value f(2) = 0, but no maximum value.

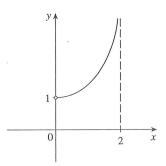


FIGURE 9

This continuous function g has no maximum or minimum.

The function f whose graph is shown in Figure 8 is defined on the closed interval [0, 2] but has no maximum value. [Notice that the range of f is [0, 3). The function takes on values arbitrarily close to 3, but never actually attains the value 3.] This does not contradict the Extreme Value Theorem because f is not continuous. [Nonetheless, a discontinuous function *could* have maximum and minimum values. See Exercise 13(b).]

The function g shown in Figure 9 is continuous on the open interval (0, 2) but has neither a maximum nor a minimum value. [The range of g is $(1, \infty)$. The function takes on arbitrarily large values.] This does not contradict the Extreme Value Theorem because the interval (0, 2) is not closed.

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The Extreme Value Theorem says that a continuous function on a closed interval has a maximum value and a minimum value, but it does not tell us how to find these extreme values. We start by looking for local extreme values.

Figure 10 shows the graph of a function f with a local maximum at c and a local minimum at d. It appears that at the maximum and minimum points the tangent lines are horizontal and therefore each has slope 0. We know that the derivative is the slope of the tangent line, so it appears that f'(c) = 0 and f'(d) = 0. The following theorem says that this is always true for differentiable functions.

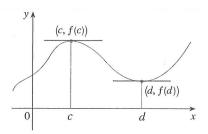


FIGURE 10

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Fermat's Theorem is named after Pierre Fermat (1601–1665), a French lawyer who took up mathematics as a hobby. Despite his amateur status, Fermat was one of the two inventors of analytic geometry (Descartes was the other). His methods for finding tangents to curves and maximum and minimum values (before the invention of limits and derivatives) made him a forerunner of Newton in the creation of differential calculus.

4 Fermat's Theorem If f has a local maximum or minimum at c, and if f'(c) exists, then f'(c) = 0.

Our intuition suggests that Fermat's Theorem is true. A rigorous proof, using the definition of a derivative, is given in Appendix E.

Although Fermat's Theorem is very useful, we have to guard against reading too much into it. If $f(x) = x^3$, then $f'(x) = 3x^2$, so f'(0) = 0. But f has no maximum or minimum at 0, as you can see from its graph in Figure 11. The fact that f'(0) = 0 simply means that the curve $y = x^3$ has a horizontal tangent at (0, 0). Instead of having a maximum or minimum at (0, 0), the curve crosses its horizontal tangent there.

Thus, when f'(c) = 0, f doesn't necessarily have a maximum or minimum at c. (In other words, the converse of Fermat's Theorem is false in general.)

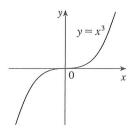


FIGURE 11

If $f(x) = x^3$, then f'(0) = 0 but f has no maximum or minimum.

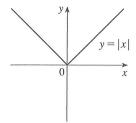


FIGURE 12

If f(x) = |x|, then f(0) = 0 is a minimum value, but f'(0) does not exist.

We should bear in mind that there may be an extreme value where f'(c) does not exist. For instance, the function f(x) = |x| has its (local and absolute) minimum value at 0 (see Figure 12), but that value cannot be found by setting f'(x) = 0 because, as was shown in Example 6 in Section 2.7, f'(0) does not exist.

Fermat's Theorem does suggest that we should at least *start* looking for extreme values of f at the numbers c where f'(c) = 0 or where f'(c) does not exist. Such numbers are given a special name.

5 Definition A **critical number** of a function f is a number c in the domain of f such that either f'(c) = 0 or f'(c) does not exist.

Figure 13 shows a graph of the function f in Example 5. It supports our answer because there is a horizontal tangent when x=1.5 and a vertical tangent when x=0.

EXAMPLE 5 Find the critical numbers of $f(x) = x^{3/5}(4 - x)$. SOLUTION The Product Rule gives

$$f'(x) = x^{3/5}(-1) + \frac{3}{5}x^{-2/5}(4 - x) = -x^{3/5} + \frac{3(4 - x)}{5x^{2/5}}$$
$$= \frac{-5x + 3(4 - x)}{5x^{2/5}} = \frac{12 - 8x}{5x^{2/5}}$$

[The same result could be obtained by first writing $f(x) = 4x^{3/5} - x^{8/5}$.] Therefore f'(x) = 0 if 12 - 8x = 0, that is, $x = \frac{3}{2}$, and f'(x) does not exist when x = 0. Thus the critical numbers are $\frac{3}{2}$ and 0.

In terms of critical numbers, Fermat's Theorem can be rephrased as follows (compare

Definition 5 with Theorem 4):

6 If f has a local maximum or minimum at c, then c is a critical number of f.

To find an absolute maximum or minimum of a continuous function on a closed interval, we note that either it is local [in which case it occurs at a critical number by (6)] or it occurs at an endpoint of the interval. Thus the following three-step procedure always works.

The Closed Interval Method To find the *absolute* maximum and minimum values of a continuous function f on a closed interval [a, b]:

- 1. Find the values of f at the critical numbers of f in (a, b).
- **2.** Find the values of f at the endpoints of the interval.
- **3.** The largest of the values from Steps 1 and 2 is the absolute maximum value; the smallest of these values is the absolute minimum value.

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FIGURE 13

We can estimate maximum and minimum values very easily using a graphing calculator or a computer with graphing software. But, as Example 6 shows, calculus is needed to find the *exact* values.

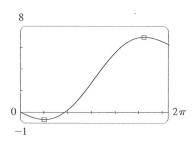


FIGURE 14

EXAMPLE 6 Finding extreme values on a closed interval

- (a) Use a graphing device to estimate the absolute minimum and maximum values of the function $f(x) = x 2 \sin x$, $0 \le x \le 2\pi$.
- (b) Use calculus to find the exact minimum and maximum values.

SOLUTION

(a) Figure 14 shows a graph of f in the viewing rectangle $[0, 2\pi]$ by [-1, 8]. By moving the cursor close to the maximum point, we see that the y-coordinates don't change very much in the vicinity of the maximum. The absolute maximum value is about 6.97 and it occurs when $x \approx 5.2$. Similarly, by moving the cursor close to the minimum point, we see that the absolute minimum value is about -0.68 and it occurs when $x \approx 1.0$. It is possible to get more accurate estimates by zooming in toward the maximum and minimum points, but instead let's use calculus.

(b) The function $f(x) = x - 2 \sin x$ is continuous on $[0, 2\pi]$. Since $f'(x) = 1 - 2 \cos x$, we have f'(x) = 0 when $\cos x = \frac{1}{2}$ and this occurs when $x = \pi/3$ or $5\pi/3$. The values of f at these critical numbers are

$$f(\pi/3) = \frac{\pi}{3} - 2\sin\frac{\pi}{3} = \frac{\pi}{3} - \sqrt{3} \approx -0.684853$$

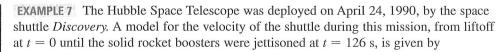
and

$$f(5\pi/3) = \frac{5\pi}{3} - 2\sin\frac{5\pi}{3} = \frac{5\pi}{3} + \sqrt{3} \approx 6.968039$$

The values of f at the endpoints are

$$f(0) = 0$$
 and $f(2\pi) = 2\pi \approx 6.28$

Comparing these four numbers and using the Closed Interval Method, we see that the absolute minimum value is $f(\pi/3) = \pi/3 - \sqrt{3}$ and the absolute maximum value is $f(5\pi/3) = 5\pi/3 + \sqrt{3}$. The values from part (a) serve as a check on our work.



$$v(t) = 0.001302t^3 - 0.09029t^2 + 23.61t - 3.083$$

(in feet per second). Using this model, estimate the absolute maximum and minimum values of the *acceleration* of the shuttle between liftoff and the jettisoning of the boosters.

SOLUTION We are asked for the extreme values not of the given velocity function, but rather of the acceleration function. So we first need to differentiate to find the acceleration:

$$a(t) = v'(t) = \frac{d}{dt} (0.001302t^3 - 0.09029t^2 + 23.61t - 3.083)$$
$$= 0.003906t^2 - 0.18058t + 23.61$$

We now apply the Closed Interval Method to the continuous function a on the interval $0 \le t \le 126$. Its derivative is

$$a'(t) = 0.007812t - 0.18058$$

The only critical number occurs when a'(t) = 0:

$$t_1 = \frac{0.18058}{0.007812} \approx 23.12$$

Evaluating a(t) at the critical number and at the endpoints, we have

$$a(0) = 23.61$$
 $a(t_1) \approx 21.52$ $a(126) \approx 62.87$

So the maximum acceleration is about 62.87 ft/s^2 and the minimum acceleration is about 21.52 ft/s^2 .



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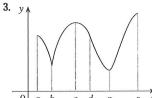
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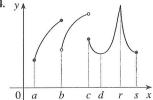
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Exercises

- 1. Explain the difference between an absolute minimum and a local minimum.
- **2.** Suppose f is a continuous function defined on a closed interval [a, b].
 - (a) What theorem guarantees the existence of an absolute maximum value and an absolute minimum value for f?
 - (b) What steps would you take to find those maximum and minimum values?
- 3-4 For each of the numbers a, b, c, d, r, and s, state whether the function whose graph is shown has an absolute maximum or minimum, a local maximum or minimum, or neither a maximum nor a minimum.

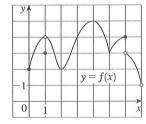


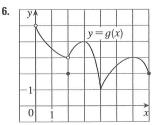




5-6 Use the graph to state the absolute and local maximum and minimum values of the function.

5.





- 7–10 Sketch the graph of a function f that is continuous on [1, 5] and has the given properties.
- 7. Absolute minimum at 2, absolute maximum at 3, local minimum at 4
- 8. Absolute minimum at 1, absolute maximum at 5, local maximum at 2, local minimum at 4
- 9. Absolute maximum at 5, absolute minimum at 2, local maximum at 3, local minima at 2 and 4
- 10. f has no local maximum or minimum, but 2 and 4 are critical numbers
- 11. (a) Sketch the graph of a function that has a local maximum at 2 and is differentiable at 2.

(b) Sketch the graph of a function that has a local maximum at 2 and is continuous but not differentiable at 2.

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- (c) Sketch the graph of a function that has a local maximum at 2 and is not continuous at 2.
- 12. (a) Sketch the graph of a function on [-1, 2] that has an absolute maximum but no local maximum.
 - (b) Sketch the graph of a function on [-1, 2] that has a local maximum but no absolute maximum.
- **13.** (a) Sketch the graph of a function on [-1, 2] that has an absolute maximum but no absolute minimum.
 - (b) Sketch the graph of a function on [-1, 2] that is discontinuous but has both an absolute maximum and an absolute minimum.
- 14. (a) Sketch the graph of a function that has two local maxima, one local minimum, and no absolute minimum.
 - (b) Sketch the graph of a function that has three local minima, two local maxima, and seven critical numbers.
- 15–22 Sketch the graph of f by hand and use your sketch to find the absolute and local maximum and minimum values of f. (Use the graphs and transformations of Sections 1.2 and 1.3.)

15.
$$f(x) = \frac{1}{2}(3x - 1), \quad x \le 3$$

16.
$$f(x) = 2 - \frac{1}{3}x$$
, $x \ge -2$

17.
$$f(x) = x^2$$
, $0 < x < 2$

18.
$$f(x) = e^x$$

19.
$$f(x) = \ln x$$
, $0 < x \le 2$

20.
$$f(t) = \cos t$$
, $-3\pi/2 \le t \le 3\pi/2$

21.
$$f(x) = 1 - \sqrt{x}$$

22.
$$f(x) = \begin{cases} 4 - x^2 & \text{if } -2 \le x < 0 \\ 2x - 1 & \text{if } 0 \le x \le 2 \end{cases}$$

23-38 Find the critical numbers of the function.

23.
$$f(x) = 4 + \frac{1}{3}x - \frac{1}{2}x^2$$

24.
$$f(x) = x^3 + 6x^2 - 15x$$

25.
$$f(x) = x^3 + 3x^2 - 24x$$

26.
$$f(x) = x^3 + x^2 + x$$

27.
$$s(t) = 3t^4 + 4t^3 - 6t^2$$

28.
$$g(t) = |3t - 4|$$

29.
$$g(y) = \frac{y-1}{y^2 - y + 1}$$

30.
$$h(p) = \frac{p-1}{p^2+4}$$

31.
$$h(t) = t^{3/4} - 2t^{1/4}$$

32.
$$g(x) = x^{1/3} - x^{-2/3}$$

33.
$$F(x) = x^{4/5}(x-4)^2$$

34.
$$g(\theta) = 4\theta - \tan \theta$$

35.
$$f(\theta) = 2\cos\theta + \sin^2\theta$$

36.
$$h(t) = 3t - \arcsin t$$

37.
$$f(x) = x^2 e^{-3x}$$

38.
$$f(x) = x^{-2} \ln x$$

39-40 A formula for the *derivative* of a function f is given. How many critical numbers does f have?

39.
$$f'(x) = 5e^{-0.1|x|}\sin x - 1$$

39.
$$f'(x) = 5e^{-0.1|x|}\sin x - 1$$
 40. $f'(x) = \frac{100\cos^2 x}{10 + x^2} - 1$

41-54 Find the absolute maximum and absolute minimum values of f on the given interval.

41.
$$f(x) = 12 + 4x - x^2$$
, [0, 5]

42.
$$f(x) = 5 + 54x - 2x^3$$
, [0, 4]

43.
$$f(x) = 2x^3 - 3x^2 - 12x + 1$$
, [-2, 3]

44.
$$f(x) = x^3 - 6x^2 + 9x + 2$$
, [-1, 4]

45.
$$f(x) = x^4 - 2x^2 + 3$$
, [-2, 3]

46.
$$f(x) = (x^2 - 1)^3$$
, [-1, 2]

47.
$$f(t) = t\sqrt{4-t^2}$$
, $[-1,2]$

48.
$$f(x) = \frac{x^2 - 4}{x^2 + 4}$$
, [-4, 4]

49.
$$f(x) = xe^{-x^2/8}$$
, [-1, 4]

50.
$$f(x) = x - \ln x$$
, $\left[\frac{1}{2}, 2\right]$

51.
$$f(x) = \ln(x^2 + x + 1)$$
, [-1, 1]

52.
$$f(x) = x - 2 \tan^{-1} x$$
, [0, 4]

53.
$$f(t) = 2\cos t + \sin 2t$$
, $[0, \pi/2]$

54.
$$f(t) = t + \cot(t/2), \quad [\pi/4, 7\pi/4]$$

- **55.** If a and b are positive numbers, find the maximum value of $f(x) = x^a(1-x)^b$, $0 \le x \le 1$.
- **56.** Use a graph to estimate the critical numbers of $f(x) = |x^3 - 3x^2 + 2|$ correct to one decimal place.

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- (a) Use a graph to estimate the absolute maximum and minimum values of the function to two decimal places.
- (b) Use calculus to find the exact maximum and minimum values.

57.
$$f(x) = x^5 - x^3 + 2$$
, $-1 \le x \le 1$

58.
$$f(x) = e^{x^3 - x}$$
, $-1 \le x \le 0$

59.
$$f(x) = x\sqrt{x - x^2}$$

60.
$$f(x) = x - 2\cos x$$
, $-2 \le x \le 0$

61. Between 0° C and 30° C, the volume V (in cubic centimeters) of 1 kg of water at a temperature T is given approximately by the formula

$$V = 999.87 - 0.06426T + 0.0085043T^2 - 0.0000679T^3$$

Find the temperature at which water has its maximum density.

62. An object with weight W is dragged along a horizontal plane by a force acting along a rope attached to the object. If the rope makes an angle θ with the plane, then the magnitude of the force is

$$F = \frac{\mu W}{\mu \sin \theta + \cos \theta}$$

where μ is a positive constant called the *coefficient of friction* and where $0 \le \theta \le \pi/2$. Show that F is minimized when $\tan \theta = \mu$.

63. A model for the US average price of a pound of white sugar from 1993 to 2003 is given by the function

$$S(t) = -0.00003237t^5 + 0.0009037t^4 - 0.008956t^3 + 0.03629t^2 - 0.04458t + 0.4074$$

where t is measured in years since August of 1993. Estimate the times when sugar was cheapest and most expensive during the period 1993-2003.

64. On May 7, 1992, the space shuttle *Endeavour* was launched on mission STS-49, the purpose of which was to install a new perigee kick motor in an Intelsat communications satellite. The table gives the velocity data for the shuttle between liftoff and the jettisoning of the solid rocket boosters.

Event	Time (s)	Velocity (ft/s)
Launch	. 0	0
Begin roll maneuver	10	185
End roll maneuver	15	319
Throttle to 89%	20	447
Throttle to 67%	32	742
Throttle to 104%	59	1325
Maximum dynamic pressure	62	1445
Solid rocket booster separation	125	4151

- (a) Use a graphing calculator or computer to find the cubic polynomial that best models the velocity of the shuttle for the time interval $t \in [0, 125]$. Then graph this polynomial.
- (b) Find a model for the acceleration of the shuttle and use it to estimate the maximum and minimum values of the acceleration during the first 125 seconds.
- 65. When a foreign object lodged in the trachea (windpipe) forces a person to cough, the diaphragm thrusts upward causing an increase in pressure in the lungs. This is accompanied by a contraction of the trachea, making a narrower channel for the expelled air to flow through. For a given amount of air to escape in a fixed time, it must move faster through the narrower channel than the wider one. The greater the velocity of the airstream, the greater the force on the foreign object. X rays show that the radius of the circular tracheal tube contracts to about two-thirds of its normal radius during a cough. According to a mathematical model of coughing, the velocity v of the airstream is related to the radius r of the trachea by